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TRANSMITTAL FORM			Application No.	10/74	47,933		
			Filing Date	Dece	ember 29, 2003		
(to be used for all correspondence after initial filing)			First Named Inventor	Kwa	ng Ryong Oh		
Ī		Art Unit		"			
			Examiner Name				
Total Number of	Pages in This Submission	on 11	Attomey Docket Numbe	5882	P071		
	ENCLO	SURES (chec	k all that apply)				
Fee Transmittal	Form	Drawing(s)			After Allowance Communication to TC		
Fee Attac	hed	Licensing-re	elated Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Re	esponse	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Fina Affidavits	ıl /declaration(s)	Petition to O Provisional	Convert a Application		Proprietary Information		
Extension of Time	ne Request	Power of Al Change of 0	torney, Revocation Correspondence Address		Status Letter		
Express Abando	nment Request	Terminal Disclaimer			Other Enclosure(s) (please identify below):		
Information Disclosure Statement		Request for Refund			Japanese Office Action; 3 prior art references; return		
X PTO/SB/0	08	CD, Numbe	er of CD(s)		ostcard		
Certified Copy o		Landso	cape Table on CD				
Response to Missing Parts/ Incomplete Application		Remarks		<u></u> I			
Basic Filing Fee Declaration/POA			_				
Response Parts und 1.52 or 1.5	e to Missing er 37 CFR 53						
	SIGNATURI	E OF APPLICAN	IT, ATTORNEY, OR A	GENT			
Firm or	Eric S. Hyman	, Reg. No. 30,	139				
Individual name	BLAKELY, S	SOKOLOFF, TAYLOR & ZAFMAN LLP					
Signature		M	<u> </u>				
Date			ilrda				
	CERTIFI	CATE OF MAIL	ING/TRANSMISSION				
					e date shown below with sufficient exandria, VA 22313-1450.		
Typed or printed na	ame Melissa Stea	d					
Signature Well		se At	lef	Date	1-23-02		

JAN 2 6 2006 EE TRANS

## ÉE TRANSMITTAL for FY 2005

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Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Complete if Known					
Application Number	10/747,933				
Filing Date	December 29, 2003				
First Named Inventor	Kwang Ryong Oh				
Examiner Name					
Art Unit					
Attorney Docket No.	5882P071				

METHOD OF PAYMENT (check all that apply)								
Check Credit card Money Order None Other (please identify):								
Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP								
☐ Cha <b>⊠</b> Cha	For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)  Charge fee(s) indicated below  Charge any additional fee(s) or underpayment of fee(s)  Credit any overpayments							
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.								
FEE CALCU	FEE CALCULATION							
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Fee	Fee	Fee	Fee	Fee Description	Fee Paid			
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1051	130	2051	65	Surcharge - late filing fee or oath				
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.				
2053	130	2053	130	Non-English specification				
1251	120	2251	60	Extension for reply within first month				
1252	450	2252	225	Extension for reply within second month				
1253	1,020	2253	510	extension for reply within third month				
1254	1,590	2254		Extension for reply within fourth month				
1255	2,160	2255		Extension for reply within fifth month				
1401	500	2401		Notice of Appeal				
1402	500	2402		Filing a brief in support of an appeal				
1403	1,000	2403		Request for oral hearing				
1451	1,510	2451		Petition to institute a public use proceeding				
1460	130	2460		Petitions to the Commissioner				
1807	50	1807		Processing fee under 37 CFR 1.17(q)				
1806	180	1806		Submission of Information Disclosure Stmt				
1809	790	1809		Filing a submission after final rejection (37 CFR § 1.129(a))				
1810	790	2810		For each additional invention to be examined (37 CFR § 1.129(b))				
Other for	ee (spe	cify) _						
	SUBTOTAL (2) (\$)							
SUBMITTE	SUBMITTED BY Complete (if applicable)							

SUBMITTED E	BY				Com	plete (if applicable)
Name (Print/Type)	Eric S. Hyman	~ M	Registration No. (Attorney/Agent)	30,139	Telephone	(310) 207-3800
Signature		EN			Date	1/20/06



Docket No.: 005882.P071

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kwang Ryong Oh, et al.

Application No.: 10/747,933

Filed: December 29, 2003

For:

**OPTO-ELECTRONIC DEVICE WITH AN** 

INTEGRATED LIGHT DEFLECTOR AND WAVELENGTH TUNABLE EXTERNAL CAVITY LASER USING

THE SAME

Examiner:

Art Group:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

In accordance with the duty of disclosure, enclosed is a copy of the IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of the final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or

prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report (copy enclosed herewith) in a counterpart Japanese application, which was forwarded to Applicant's Representative in a communication dated November 4, 2005.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material patentability.

Please charge any additional fee due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Eric Hyman
Reg. No. 30,139

12400 Wilshire Boulevard, Seventh Floor Los Angeles, California 90025 (310) 207-3800 **CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Melissa Stead

Date

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ute for form 1449A/PTO

## NFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 1 of

		Complete if Known	
	Application Number	10/747,933	
	Filing Date ·	December 29, 2003	
	First Named Inventor	Kwang Ryong Oh	
	Art Unit		
_	Examiner Name		
	Attorney Docket Number	5882P071	

	U.S. PATENT DOCUMENTS								
Examiner	0:1-	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where				
Initials*	Cite No.1	Number - Kind Code² (if known)	or Issue Date MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
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FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Cite	Foreign Patent Document			Pages, Columns, Lines,		
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>		
		JP 2003-198056	07-11-2003	Korea Electronics Telecommunication		OS		
		JP 57-027086	02-13-1982	Toshiba Corp		Mos		
		JP 2000-286503	10-13-2000	Anritsu Corp		16		
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Examiner	Date	
Signature	Consider	ed

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.